

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PHASE OF  
PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To: Hon. Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)

Atty Dkt: PM 275395 /2980318US/A/HER  
M# /Client Ref.

From: Pillsbury Madison & Sutro LLP, IP Group:

Date: November 30, 2000

This is a **REQUEST** for **FILING** a PCT/USA National Phase Application based on:

- |                              |                              |                                     |
|------------------------------|------------------------------|-------------------------------------|
| 1. International Application | 2. International Filing Date | 3. Earliest Priority Date Claimed   |
| <u>PCT/FI99/00477</u>        | <u>1 June 1999</u>           | <u>3 June 1998</u>                  |
| <u>↑ country code</u>        | Day MONTH Year               | Day MONTH Year                      |
|                              |                              | (use item 2 if no earlier priority) |
4. Measured from the earliest priority date in item 3, this PCT/USA National Phase Application Request is being filed within:

(a) ☐ 20 months from above item 3 date (b) ☒ 30 months from above item 3 date,

(c) Therefore, the due date (unextendable) is December 3, 2000

5. Title of Invention DATA TRANSMISSION METHODS IN A TELECOMMUNICATION SYSTEM

6. Inventor(s) BERGENWALL, Martin et al

Applicant herewith submits the following under 35 U.S.C. 371 to effect filing:

7. ☒ Please immediately start national examination procedures (35 U.S.C. 371 (f)).
8. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is transmitted herewith (file if in English but, if in foreign language, file only if not transmitted to PTO by the International Bureau) including:
- a. ☐ Request;
  - b. ☐ Abstract;
  - c.        pgs. Spec. and Claims;
  - d.        sheet(s) Drawing which are ☐ informal ☐ formal of size ☐ A4 ☐ 11"
9. ☒ A copy of the International Application has been transmitted by the International Bureau.
10. A translation of the International Application into English (35 U.S.C. 371(c)(2))
- a. ☒ is transmitted herewith including: (1) ☒ Request; (2) ☒ Abstract;
  - (3) 25 pgs. Spec. and Claims;
  - (4) 5 sheet(s) Drawing which are: ☐ informal ☒ formal of size ☒ A4 ☐ 11"
  - b. ☐ is not required, as the application was filed in English.
  - c. ☐ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
  - d. ☐ Translation verification attached (not required now).

RE: USA National Filing of PCT /FI99/00477

525 Rec'd PCT/PTO 30 NOV 2000

11. ☒ **PLEASE AMEND** the specification before its first line by inserting as a separate paragraph:  
 a. ☒ --This application is the national phase of international application PCT/FI99/00477 filed June 1, 1999 which designated the U.S.--  
 b. ☐ --This application also claims the benefit of U.S. Provisional Application No. 60/\_\_\_\_\_, filed \_\_\_\_\_.--
12. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., **before 18th month from first priority date above in item 3, are transmitted herewith (file only if in English) including:**
13. ☒ PCT Article 19 claim amendments (if any) have been transmitted by the International Bureau
14. ☐ Translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)), i.e., of **claim amendments** made before 18th month, is attached (**required by 20th month from the date in item 3 if box 4(a) above is X'd, or 30th month if box 4(b) is X'd, or else amendments will be considered canceled**).
15. **A declaration of the inventor** (35 U.S.C. 371(c)(4))  
 a. ☐ is submitted herewith ☐ Original ☐ Facsimile/Copy  
 b. ☒ is not herewith, but will be filed when required by the forthcoming PTO Missing Requirements Notice per Rule 494(c) if box 4(a) is X'd or Rule 495(c) if box 4(b) is X'd.
16. **An International Search Report (ISR):**  
 a. Was prepared by ☐ European Patent Office ☐ Japanese Patent Office ☒ Other  
 b. ☒ has been transmitted by the international Bureau to PTO.  
 c. ☒ copy herewith (2 pg(s).) ☒ plus Annex of family members (1 pg(s).).
17. **International Preliminary Examination Report (IPER):**  
 a. ☒ has been transmitted (if this letter is filed after 28 months from date in item 3) in English by the International Bureau with Annexes (if any) in original language.  
 b. ☒ copy herewith in English.  
 c.1 ☒ IPER Annex(es) in original language ("Annexes" are amendments made to claims/spec/drawings during Examination) including attached amended:  
 c.2 ☒ Specification/claim pages #19 - 25 claims #1 - 26  
 Dwg Sheets #  
 d. ☒ Translation of Annex(es) to IPER (**required by 30<sup>th</sup> month due date, or else annexed amendments will be considered canceled**).
18. **Information Disclosure Statement** including:  
 a. ☒ Attached Form PTO-1449 listing documents  
 b. ☒ Attached copies of documents listed on Form PTO-1449  
 c. ☒ A concise explanation of relevance of ISR references is given in the ISR.
19. ☐ **Assignment** document and Cover Sheet for recording are attached. Please mail the recorded assignment document back to the person whose signature, name and address appear at the end of this letter.
20. ☐ Copy of Power to IA agent.
21. ☐ **Drawings** (complete only if 8d or 10a(4) not completed): \_\_\_\_ sheet(s) per set: ☐ 1 set informal;  
☐ Formal of size ☐ A4 ☐ 11"
22. Small Entity Status ☐ is **Not** claimed ☐ is claimed (**pre-filing confirmation required**)  
 22(a) \_\_\_\_ (No.) Small Entity Statement(s) enclosed (since 9/8/00 Small Entity Statements(s) not essential to make claim)
23. **Priority** is hereby claimed under 35 U.S.C. 119/365 based on the priority claim and the certified copy, both filed in the International Application during the international stage based on the filing in (country) FINLAND of:
- |     | <u>Application No.</u> | <u>Filing Date</u>  |     | <u>Application No.</u> | <u>Filing Date</u>   |
|-----|------------------------|---------------------|-----|------------------------|----------------------|
| (1) | <u>981261</u>          | <u>June 3, 1998</u> | (2) | <u>981441</u>          | <u>June 23, 1998</u> |
| (3) | _____                  | _____               | (4) | _____                  | _____                |
| (5) | _____                  | _____               | (6) | _____                  | _____                |
- a. ☒ See Form PCT/IB/304 sent to US/DO with copy of priority documents. If copy has not been received, **please proceed promptly to obtain same from the IB**.  
 b. ☐ Copy of Form PCT/IB/304 attached.

RE: USA National Filing of PCT/FI99/00477

525 Rec'd PCT/PTO 30 NOV 2000

24. Attached: Form PCT/IB/306

25. Preliminary Amendment: SEE ATTACHED

25.5 Per Item 17.c2, cancel original pages #\_\_\_\_\_, claims #\_\_\_\_\_, Drawing Sheets #**26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows:**Based on amended claim(s) per above item(s) ☐ 12, ☐ 14, ☒ 17, ☒ 25, ☐ 25.5 (hilitte)

Total Effective Claims	minus 20 =	x \$18/\$9 =	\$	966/967
Independent Claims	minus 3 =	x \$80/\$40 =	\$	964/965
If any proper (ignore improper) Multiple Dependent claim is present,		add \$270/\$135	+0	968/969

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): →→ BASIC FEE REQUIRED, NOW →→→→A. If country code letters in item 1 are not "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"

See item 16 re:

1. Search Report was <u>not</u> prepared by EPO or JPO -----	add \$1000/\$500		960/961
2. Search Report was prepared by EPO or JPO -----	add \$860/\$430	+1000	970/971

**SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL", "NZ", "IN" or "ZA"**

→ <input type="checkbox"/> B. If USPTO did not issue <u>both</u> International Search Report (ISR) <u>and</u> (if box 4(b) above is X'd) the International Examination Report (IPER), -----	add \$970/\$485	+0	960/961
(only) → <input type="checkbox"/> C. If USPTO issued ISR but not IPER (or box 4(a) above is X'd), -----	add \$710/\$355	+	958/959
(these) (4) → <input type="checkbox"/> D. If USPTO issued IPER but IPER Sec. V boxes <u>not all</u> 3 YES, -----	add \$690/\$345	+0	956/957
→ <input type="checkbox"/> E. If international preliminary examination fee was paid to USPTO <u>and</u> Rules 492(a)(4) and 496(b) <u>satisfied</u> (IPER Sec. V <u>all</u> 3 boxes YES for <u>all</u> claims), -----	add \$100/\$50	+0	962/963

27. SUBTOTAL = \$100028. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)29. Attached is a check to cover the ----- **TOTAL FEES** \$1000

Our Deposit Account No. 03-3975

Our Order No. 60258

C#

275395

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed**Pillsbury Madison & Sutro LLP  
Intellectual Property Group**

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
Atty/Sec: RCI/mhn

By Atty: Richard C. IrvingSig: Richard C. IrvingReg. No. 38499

Fax: (202) 822-0944  
Tel: (202) 861-3788

**NOTE:** File in duplicate with 2 postcard receipts (PAT-103) & attachments.

## IN THE UNITED STATES PATENT OFFICE

In re PATENT APPLICATION of

525 Rec'd PCT/PTO 30 NOV 2000

BERGENWALL, Martin et al

Atty Dkt.: 275395/2980318US/A/HER

Appln. No.:

Group Art Unit:

Filed: HERewith

Examiner:

Title: DATA TRANSMISSION METHODS IN A TELECOMMUNICATION  
SYSTEM

\* \* \* \* \*

Date November 30, 2000

PRELIMINARY AMENDMENTHon. Commissioner of Patents  
and Trademarks Office  
Washington, D.C. 20231

Sir:

Please amend this application as follows:

IN THE CLAIMS:

Claim 3, line 1, delete " or 2 "

Claim 4, line 1, change " any one ... claims " to

- - claim 1 - -

Claim 5, line 1, change " any one ... claims " to

- - claim 1 - -

Claim 6, line 1, delete " 6 " and insert - - 5 - -

Claim 7, line 1, delete " or 6 "

Claim 12, line 1, delete " or 11 "

Claim 13, line 1, delete " 11 or 12,"

09/101510

BERGENWALL . . .

Claim 14, line 1, change " any one ... to 13 "  
to -- claim 10 --

Claim 15, line 1, change " any one ... to 14 "  
to - - claim 10 -

Claim 16, line 1, change " any one ... to 15 " to  
-- claim 10 --

Claim 17, line 1, change " any one ... to 16 " to  
- - claim 10 - -

Claim 23, line 1, delete " or 22 "

Claim 24, line 1, change " any one ... to 23 " to  
- - claim 21 - -

Claim 25, line 1, change " any one ... to 24 " to  
- - claim 21 - -

Claim 26, line 1, change " any one ... to 25 " to  
- - claim 21 - -

Also correct claim numbering, 101 should be 10 and 180  
Should be 18.

Respectfully submitted,

PILLSBURY MADISON & SUTRO LLP

By Richard C. Irving  
Richard C. Irving  
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RCI/mhn  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Stage Application of PCT/FI99/00477

BERGENWALL et al.

Application No.: 09/701,510

Filed: November 30, 2000



Group Art Unit: Not Yet

Examiner: Not Yet Assigned

Title: DATA TRANSMISSION METHODS IN A TELECOMMUNICATION SYSTEM

\* \* \* \* \*

January 8, 2001

**PRELIMINARY AMENDMENT**

Hon. Commissioner of Patents  
And Trademarks  
Washington, D.C. 20231

Sir:

Kindly amend the present application as follows:

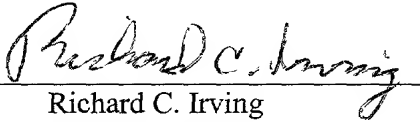
**IN THE SPECIFICATION:**

Page 1, line 1, kindly change "This application is the national phase of international application PCT/FI99/00477 filed June 1, 1999 which designated the U.S." to --This application is the national phase of international application PCT/FI99/00477 filed

June 1, 1999 which designated the U.S., and that international application was published under PCT Article 21(2) in English--.

Respectfully submitted,

PILLSBURY WINTHROP LLP

By 

Richard C. Irving

Reg. No. 38,499

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RCI:ksh

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Inventor(s): BERGENWALL et al.

Appl. No.: 09 701,510

Series Code ↑

Serial No. ↑

Filed: November 30, 2000

Hon. Commissioner of Patents  
Washington, D.C. 20231

Group Art Unit

Unknown

Examiner:

Unknown

Atty. Dkt. PM

275395

2980318US/A/HER

M#

Client Ref

Appln. Title: DATA TRANSMISSION METHODS IN  
A TELECOMMUNICATION SYSTEM

Sir:

**REPLY/AMENDMENT/LETTER**

Date: January 8, 2001

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated herein by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

1. Small Entity claim

A. ☒ NOT madeB. ☐ WithdrawnC. ☐ made herewithD. ☐ made previously

For B &amp; C

See **Required****Separate** Paper

(Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	26	**minus 20	6	x \$18/\$9 = + \$108	103/203
3. Independent Claims	7	***minus 3	4	x \$80/\$40 = + \$320	102/202
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application) .....				add + \$270/\$135 = + \$0	104/204
5. Original due Date:		<input checked="" type="checkbox"/> NONE			
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached		(1 mo) \$110/\$55 = (2 mos) \$390/\$195 = (3 mos) \$890/\$445 = (Usable only for ≤ 2mo.OA --- 4 mos) \$1390/\$695= (Usable only for 30 day/1mo.OA --- 5 mos) \$1890/\$945=	+ \$0		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0		
8. Extension Fee Attached			+ \$0		
9. If Terminal Disclaimer attached, add Rule 20(d) official fee .....			+ \$110/\$55 + \$0 148/248		
10. If IDS attached requires Official Fee under Rule 97 (c), .....			+ \$180 + \$0 126		
or if Rule 97(d) Request .....			+ \$180 + \$0 126		
11. After-Final Request Fee per rules 129(a) and 17(r) .....			+ \$710/355 + \$0 146/246		
12. No. of additional inventions for examination per Rule 129(b).....			x \$710/355 ea + \$0 149/249		
13. Request for Continued Examination (RCE) .....			+ \$710/355 + \$0 1179/1279		
14. Petition fee for .....			+ \$0		
15. TOTAL FEE ENCLOSED =			\$428		

15.

16. \*If the entry in this space is less than entry in next space, the "Present Extra" result is "0".

17. \*\*If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.

18. \*\*\*If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.

Our Deposit Account No. 03-3975)

(Our Order No. 60258

275395

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP

Intellectual Property Group

By Atty: Richard C. Irving

Reg. No. 38499

1100 New York Avenue, NW

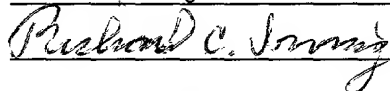
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Sig:



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NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments



09/701510

525 Rec'd PCT/PTO 30 NOV 2000

# APPLICATION UNDER UNITED STATES PATENT LAWS

Atty. Dkt. No. PM 275395/2980318US/A/HER  
(M#)

Invention: DATA TRANSMISSION METHODS IN A TELECOMMUNICATION SYSTEM

Inventor (s): BERGENWALL, Martin  
RINNE, Mikko  
IMMONEN, Jukka  
OHVO, Mikko  
RASANEN, Juha

Pillsbury Madison & Sutro LLP  
Intellectual Property Group  
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Attorneys  
Telephone: (202) 861-3000

This is a:

- ☐ Provisional Application
- ☐ Regular Utility Application
- ☐ Continuing Application
  - ☒ The contents of the parent are incorporated by reference
- ☒ PCT National Phase Application
- ☐ Design Application
- ☐ Reissue Application
- ☐ Plant Application
- ☐ Substitute Specification
  - Sub. Spec Filed \_\_\_\_\_
  - in App. No. \_\_\_\_\_ / \_\_\_\_\_
- ☐ Marked up Specification re
  - Sub. Spec. filed \_\_\_\_\_
  - In App. No. \_\_\_\_\_ / \_\_\_\_\_

## SPECIFICATION

09/701510

## DATA TRANSMISSION METHODS IN A TELECOMMUNICATION SYSTEM

The invention relates to data transmission in telecommunication systems and, particularly in radio systems.

5 Mobile communication systems generally refer to different telecommunication systems which enable personal wireless data transmission while subscribers roam in the system area. A typical mobile communication system is a Public Land Mobile Network (PLMN). First-generation mobile communication systems were analogue systems where speech or data was  
10 transferred in an analogue form similarly as in conventional public switched telephone networks. An example of a first-generation system is the Nordic Mobile Telephone (NMT).

In second-generation mobile systems, such as the Global System for Mobile Communication (GSM), speech and data are transmitted in a digital  
15 form. In addition to conventional speech transmission, digital mobile communication systems provide a plurality of other services: short messages, facsimile, data transmission, etc.

Currently under development are third-generation mobile communication systems, such as the Universal Mobile Communication System  
20 (UMTS) and the Future Public Land Mobile Telecommunication System (FPLMTS), which was later renamed as the International Mobile Telecommunication 2000 (IMT-2000). The UMTS is being standardized by the European Telecommunication Standards Institute (ETSI), whereas the International Telecommunication Union (ITU) standardizes the IMT-2000  
25 system. These future systems are basically very similar. For example the UMTS, as all mobile communication systems, provides wireless data transmission services to mobile subscribers. The system supports roaming, which means that UMTS users can be reached and they can make calls anywhere as long as they are situated within the coverage area of the UMTS.

30 Services provided by mobile communication systems can generally be divided into teleservices and bearer services. A bearer service is a telecommunication service which forms signal transmission between user-network interfaces. For example modem services are bearer services. In a teleservice the network also provides subscriber terminal services. Important  
35 teleservices include speech, facsimile and videotex services. Bearer services are usually divided into groups according to a property, such as asynchronous

09/701510-00004

and synchronous bearer services. Each of these groups comprises a number of bearer services, such as a transparent service (T) and a non-transparent service (NT). In a transparent service the (real-time) data to be transmitted is unstructured and transmission errors are corrected only by means of channel coding. In a non-transparent service the (non-real-time) data to be transmitted is structured into protocol data units (PDU) and transmission errors are corrected by utilizing (in addition to channel coding) automatic retransmission protocols, i.e. retransmission of corrupted data in the data link layer. For example in the GSM system such a link protocol is called a radio link protocol (RLP). This kind of link protocol is also generally referred to as link access control (LAC), particularly in connection with third-generation mobile communication systems.

In a retransmission protocol the data is transmitted in frames (data units), which usually comprise a frame check sequence FCS, which is calculated on the basis of the frame content. The receiver checks the content of the received frames by calculating the FCS on the basis of the content of the received frame and by comparing it with the FCS received in the frame. If the FCSs do not match, the frame is interpreted as corrupted and the receiver requests for retransmission of the frame. The receiver also requests for retransmission when the frame is missing entirely. In such a manner the radio system is able to provide a user with a data channel which has a better bit error ratio (BER) than a data channel with no retransmission protocol in use. For example in the GSM system, the basic BER (without RLP) is usually about  $10^{-3}$ , whereas with the use of RLP the BER is about  $10^{-8}$ . On the other hand, the effective data rate naturally deteriorates due to numerous retransmissions.

The retransmission protocol retransmits the entire frame whenever the FCS calculated in the receiver does not match the received FCS. This may result from an error of one bit in the frame. This speaks for the use of short frames in order that the amount of data that is possibly retransmitted as a result of bit errors can be minimized. On the other hand, each frame has a header, which contains sequence numbers for identifying the frame, and an FCS field. This overhead, in turn, speaks for longer frames in order that the overhead in the frames can be minimized. The longer the frames, the smaller the portion of the overhead with respect to the amount of the data to be transmitted. At present there are protocols which employ fixed-length data frames (such as the GSM RLP) and protocols utilizing variable-length

protocols, such as Logic Link Control LLC in the packet data service GPRS of the GSM system.

Third-generation mobile communication systems may require variable-length frames of the data link layer for different reasons, for example  
5 in order to achieve optimum adaptation to changing conditions of an underlying Medium Access Control (MAC) layer and to varying radio conditions. In third-generation systems it is possible to use different MAC services with different BERs from about  $10^{-3}$  to  $10^{-6}$  with or without MAC layer retransmission. However, there is a problem related to adaptive changing of  
10 the frame length.

If the radio conditions deteriorate, the frame length is made shorter. The shorter the frame, the less susceptible it is to disturbance and the greater the likelihood that the frame is transmitted over the radio path without distortion. On the other hand, if the frames are very long each frame is  
15 subjected to bit errors during the transmission and the transmission only consists of retransmissions. When the frame length changes during the connection, it is likely that the transmission buffers will contain long frames waiting for retransmission. However, such long frames cannot be divided into several short frames since this would make the frame numbering meaningless  
20 and thus prevent correct operation. In other words, if long frames that have already been transmitted are retransmitted in short frames with different frame numbers, it will confuse the complicated sequences of retransmissions and retransmission requests, possibly resulting in loss or doubling of data. Therefore long frames must be retransmitted even if the optimum frame length  
25 used by the new frames may be considerably shorter.

Transfer to the use of third-generation mobile communication systems will take place gradually. At the beginning, third-generation radio access networks will be used in connection with network infrastructure of second-generation mobile communication systems. Such a hybrid system is  
30 illustrated in Figure 1. A second-generation mobile services switching centre MSC is connected both to a second-generation radio access network, such as a GSM base station system BSS consisting of a base station controller BSC and base stations BTS, and to a third-generation radio access network consisting of, for example, a radio network controller RNC and base stations  
35 BS. In practice, there will be two different radio subsystems RSS, which share a common infrastructure on the network subsystem NSS level. Second-



protocol, such as RLP or LAC, (in addition to channel coding) for error correction. Second-generation and third-generation protocols will be at least somewhat different. Therefore it is necessary to change the link protocol during a handover. However, in a handover there may be complicated ongoing  
5 sequences of selective retransmissions and retransmission requests in the "old" link protocol, and an interruption thereof possibly leads to loss or doubling of data. Yet, in order to maintain data integrity it is important that not one bit is lost or doubled during the swap of the traffic channel protocol stacks.

An object of the invention is to eliminate the problems related to the  
10 retransmission of old frames when the frame length of the retransmission protocol is changed during the connection.

Another object of the invention is the interworking between link layer protocols of different radio systems.

Yet another object of the invention is to develop a handover method  
15 which maintains data integrity in a handover for a non-transparent call between two mobile communication systems.

The basic idea of the invention is to utilize "payload unit numbering" instead of or in addition to conventional frame numbering. The data is split into fixed-length data blocks or payload units. The size of a block is preferably  
20 equal to or smaller than the shortest information field in the frames of the protocol(s) used. Each protocol frame carries one or more payload units. In an optimum situation the length of the information field in a protocol frame equals  $n \cdot$  the length of the payload unit, where  $n$  is an integer. Instead of (in some special cases possibly in addition to) frame numbering the protocol frame  
25 carries payload numbers both for indicating the payload units (data blocks) transferred in the protocol frame and for acknowledgement of the received blocks.

The payload numbering according to the invention is thus based on the numbering of the units formed from the data content, wherefore it is  
30 independent of the frame length and the frame type, i.e. the used protocol. This provides considerable advantages.

By means of the payload numbering it is possible to avoid the aforementioned problems related to changing the length of the protocol frame. After the frame length has changed, the transmitter splits the "old" frames in  
35 the retransmission buffer back into payload units, packs these payload units into "new" frames and indicates with payload numbering in the header of the

- new frame which payload units the frame contains (e.g. by indicating the number of the first payload unit in the frame). The receiver identifies the change in the frame length (e.g. from the frame header) and the payload numbers (both the numbers sent for identification of the received frames and the numbers sent for acknowledgement) from the frame header similarly as before the change of the frame length. The retransmission sequences are not disturbed as a result of the change in the frame length since the payload numbering is the same as before the change. The only thing that changes is the frame capacity, i.e. the number of payload units transferred in one frame.
- 10 Therefore the invention optimizes the performance of a non-transparent data traffic channel under varying radio and error conditions.

- By means of the payload unit numbering it is also possible to improve the interworking of link layer protocols between two different radio systems. The length of the payload unit can be selected optimally regarding the protocols used by both systems. For example, the length of the payload unit can be negotiated at the start of each connection in the same way as all the other link layer parameters, or the length can be indicated directly or indirectly in connection with signalling, or the length can be fixed. In an embodiment of the invention, a radio access network (e.g. a third-generation radio access network) where the protocol (e.g. LAC) allows changing the frame length is connected to another radio system (e.g. a second-generation radio system) where the protocol (e.g. RLP) frame has a fixed length. The length of the payload unit can be selected to be identical to the length of the information field in the RLP frame, in which case each RLP frame carries one payload unit and the payload numbering is directly compatible with the RLP frame numbering. Therefore the same numbering applies over the entire connection for example between the mobile station and the mobile services switching centre even though the connection comprises two legs with different layer 2 link protocols and even different frame lengths. This simplifies the implementation of the interworking between the systems since the interworking does not have to adapt two different frame numbering systems to each other, but it only attends to the adaptation of the different protocol functions and formats and to the transmission of information (user data and protocol commands and responses). If either protocol does not support a particular protocol function, the interworking unit can deactivate the function for example by means of negative acknowledgement when the link parameters are being

negotiated at the beginning of the connection. Also, the same numbering from end to end enables handovers without loss or doubling of data. Alternatively, the length of the payload unit can be selected such that the frame of the first protocol (e.g. third-generation LAC) can be transmitted through a channel of  
5 the second radio system instead of the second protocol (e.g. RLP) frame or in the information field thereof. In this case, too, the same numbering is applied from end to end, which provides several advantages. The invention also makes it possible to change the frame length at the radio interface in steps of a payload unit even though the frame length at the network interface between  
10 the mobile services switching centre and the interworking unit stays the same. Therefore the frame length at the radio interface can be adapted to radio conditions, error conditions, etc.

The payload units are numbered preferably in ascending order. Therefore, under normal conditions it is sufficient that the payload units in the  
15 frame are identified with one payload unit number (e.g. the number of the first payload unit). In such a case the invention causes no or only minimum overhead. A different situation occurs when the data rate is changed from a lower to a higher rate or vice versa, and the higher data rate is not an even multiple of the lower data rate. In such a case there may be scattered payload  
20 units (which are no longer in the original sequence), which must be retransmitted. According to an embodiment of the invention, in these less than optimum situations the payload unit number is indicated in the frame separately for each payload unit by means of so-called header extension. This means a temporary increase in overhead. However, these situations are rare  
25 since only about 5 to 10% of the frames are assumed to be retransmitted and only a fraction of these will be out of sequence and subject to changes of data rate.

The invention will be described below in greater detail in connection with preferred embodiments, with reference to the accompanying drawings, in  
30 which

Figure 1 shows a second-generation mobile communication network supplemented with a third-generation radio access network;

Figure 2 shows a second-generation and a third-generation network between which dual-mode mobile stations can roam;

35 Figure 3 shows a protocol stack of a non-transparent data service in the GSM system;



Figure 4 shows protocol layers of a third-generation mobile system in another manner;

Figure 5 illustrates a basic structure of an LAC frame;

Figures 6A to 6C illustrate payload unit numbering according to the invention;

Figure 7 illustrates data transmission and retransmission based on payload numbering;

Figures 8A, 8B, 8C and 9 illustrate the retransmission according to the invention when the frame length changes;

Figure 10 shows a mobile communication system where a third-generation radio access network is connected to a second-generation mobile services switching centre;

Figure 11 shows data transmission in the system of Figure 10 when payload unit numbering is used;

Figure 12 illustrates a handover according to the invention during the transmission of an LAC frame;

Figure 13 illustrates an extendible header of a protocol frame; and

Figure 14 shows a frame where the header comprises individual numbers of four payload units.

The present invention can be applied in any telecommunication system with a link protocol frame of variable length, or in interworking or handover between any two digital radio systems with different radio link protocols. "Radio system" should be understood broadly such that different radio access networks of the same mobile network are able to form different radio systems, as illustrated in Figure 1, or that radio systems refer to entirely separate mobile communication systems, as shown in Figure 2. One or both of the radio access networks can be wireless local loop (WLL) or radio local loop (RLL) networks. The primary field of application of the invention is a handover between a second-generation and a third-generation mobile network, such as the GSM and the UMTS. "Link protocol" should also be understood herein generally to cover not only the present second-generation protocols, such as the RLP of the GSM system, but also all the possible third-generation or later generation link access control (LAC) protocols or the radio link control protocol (RLCP) of the Wideband CDMA system, or also lower-layer retransmission protocols, such as the medium access control (MAC). In the following, the preferred embodiments of the invention will be described by using as an

example the second-generation GSM system and the third-generation UMTS. In the description below, the GSM radio link protocol will be called RLP and the UMTS radio link protocol will be called LAC.

- A GSM network consists of two basic parts: a base station system
- 5 BSS and a network subsystem NSS. The BSS and the mobile stations MS communicate via radio connections. In the BSS, each cell is served by a base station BTS. A number of BTSs are connected to a base station controller BSC the function of which is to control radio frequencies and channels used by a BTS. The BSCs are connected to a mobile services switching centre MSC.
- 10 Certain MSCs are connected to other telecommunication networks, such as the public switched telephone network PSTN, and they comprise gateway functions for calls terminating at and originating from these networks. These MSCs are known as gateway-MSCs (GMSC). There are also at least two databases: a home location register HLR and a visitor location register VLR.
- 15 A mobile communication system comprises adapter functions for adapting an intra-network data link to the protocols used by terminal equipments and other telecommunication networks. The adapter functions typically include a terminal adaptation function TAF placed at the interface between a mobile station and a data terminal equipment connected thereto,
- 20 and an interworking function IWF situated at the interface between the mobile network and another telecommunication network, usually in connection with an MSC. In the GSM system a data link is set up between a TAF of the MS and an IWF in the mobile network. The TAF adapts a data terminal equipment DTE connected or integrated to the MS to the aforementioned GSM data link that is
- 25 set up over a physical connection using one or several traffic channels. The IWF connects the GSM data link for example to another telecommunication network, such as an ISDN or another GSM network, or to some other transit network, such as a PSTN.

- Figure 3 illustrates protocols and functions required for non-
- 30 transparent bearer services. A non-transparent circuit switched connection between a TAF and an IWF on a GSM traffic channel comprises several protocol layers that are common to all these services. They include different rate adaptation RA functions, such as RA1' between the TAF and a channel codec unit CCU placed in the BSS, RA1 between the CCU and the IWF, RAA
- 35 (or RAA' for a 14.4 kbit/s channel) between the CCU and a transcoder unit TRAU placed remote from the base station, and RA2 between the TRAU and

the IWF. The rate adaptation functions RA are defined in the GSM recommendations 04.21 and 08.20. Communication between the CCU and the TRAU is defined in the GSM recommendation 08.60. Information that has been RA1' rate-adapted in the radio interface is also channel-coded as defined in the GSM recommendation 5.03, which is illustrated by blocks FEC in the MS and the CCU. The IWF and the TAF also comprise higher-level protocols that are specific to each service. In an asynchronous non-transparent bearer service the IWF requires an L2R (Layer 2 Relay) protocol and a radio link protocol RLP and a modem or a rate adapter towards the fixed network. The L2R functionality for non-transparent character oriented protocols is defined for example in the GSM recommendation 07.02. The RLP is defined in the GSM recommendation 04.22. The RLP is a frame-structured, balanced (HDLC-type) data transmission protocol, where error correction is based on retransmission of distorted frames at the request of the receiving party. The interface between the IWF and for example an audio modem MODEM is as defined in CCITT V.24 and it is denoted in Figure 3 by L2. This non-transparent configuration is also used to access the Internet.

The RA1 and RA1' rate adaptations map each 240-bit RLP frame into four modified 80-bit V.110 frames (between the MSC and the BSS) or into four modified 60-bit V.110 frames (at the radio interface). A bit sequence called "Frame Start Identifier" is used to indicate which V.110 frame in the bit stream is the first one for a particular RLP frame. The first quarter of the RLP frame is transmitted in this V.110 frame, the second quarter is transmitted in the next frame, the third quarter in the third frame and the fourth one in the fourth frame, whereafter a new RLP frame begins.

In the HSCSD concept of the GSM system, a high-speed data signal is divided into separate data streams, which are then transmitted via N subchannels (N traffic channel time slots) at the radio interface. When the data streams have been divided they are conveyed in the subchannels as if they were mutually independent until they are again combined in the IWF or the MS. However, logically these N subchannels belong to the same HSCSD connection, i.e. they form one HSCSD traffic channel. According to the GSM recommendations dividing and combining a data stream are carried out in a modified RLP, which is thus common to all the subchannels. Below this common RLP each subchannel comprises separately the same protocol stack RA1'-FEC-FEC-RA1'-RAA-RAA-RA2-RA2-RA1, which is shown in Figure 3 for

one traffic channel between the MS/TAF and the MSC/IWF. Therefore an HSCSD traffic channel according to the GSM recommendations will still use the common RLP for the different subchannels even though the bit rate on a single subchannel can be as high as 64 kbit/s.

5           An example of a third-generation network is the UMTS, which is still under development. It should be noted that the detailed structure of the UMTS access network is not significant for the invention. According to the simplest scenario the UMTS is an access network the functions of which are strictly limited to radio access functions. Therefore it mainly comprises functions for  
10 controlling radio resources (handover, paging) and for controlling bearer services (radio network service control). The more complicated functions, such as registers, register functions, mobility management and location management, are placed in a separate network subsystem NSS or in the core network. The NSS or the core network may be, for example, the GSM  
15 infrastructure. In Figures 1 and 2 the third-generation radio access network comprises base stations BS and a radio network controller RNC. It is further assumed that the third-generation system employs the link access control LAC protocol between the MS and the MSC/IWF, the protocol differing from second-generation radio link protocols, such as the RLP. A physical traffic  
20 channel comprises lower protocols, in the frames of which the LAC frames are transmitted. In principle a protocol stack of a third-generation mobile communication system may be similar as described above in connection with the GSM system, except that RLP is replaced with LAC.

Figure 4 illustrates in another manner protocol layers of a pure third-  
25 generation mobile communication system. The LAC protocol extends from end to end between an MS and an MSC. At the radio interface between the MS and the BS/RNC there is MAC (Medium Access Control) and a physical layer (radio channel) below the LAC. At the network interface between the BS/RNC and the MSC there is a transmission layer and a physical layer (transmission  
30 channel) below the LAC. Figure 5 shows a basic structure of an LAC frame comprising a fixed-length header, a variable-length information field, and a fixed-length frame check sequence FCS. It is possible that in third-generation systems the LAC throughput is optimized under varying radio conditions by manipulating the length of the LAC frame. Generally, there can be two reasons  
35 for changing conditions: different radio environments and different MAC bearer services. At the start of a connection it is possible to use for optimum frame

size a default value based on connection parameters. During the connection it is possible to monitor the quality of the data transmission, for example the frame error ratio (FER). If the FER drops below a predetermined limit indicating good conditions, the frame size is increased. If the FER exceeds  
5 another predetermined limit, the frame size is decreased. With such an arrangement the LAC tries to optimize the frame size for the radio conditions and bit error ratio in each case. However, there may be specified maximum and minimum values for the frame size, depending on the bit rate. If the data is not transmitted sufficiently rapidly for some reason, the actual frame size can  
10 be smaller than the optimum frame size in order to avoid delays. The MAC layer can also indicate the present conditions, thus helping the LAC to adapt more rapidly. The optimum frame size can be the same or different for different transmission directions, which means that both ends are able to negotiate the optimum frame size or each end uses its own optimum frame size. It should be  
15 noted that the arrangement described above is only a scenario of the inventors concerning the adjustment of the frame length. It is not essential to the invention how the frame length is changed. The invention can also be applied in situations where the frame length is fixed or agreed upon only at the start of the connection.

20        Figures 6A to 6C illustrate the payload unit numbering according to the invention. A transmitter splits a data stream 61 to be transmitted into fixed-length data blocks or payload units 62. The size of the payload unit 62 is preferably equal to or smaller than the shortest information field in the frames of the used protocol(s), such as the LAC. The transmitter and/or the receiver  
25 obtains the length of the payload unit directly or indirectly from outband or inband signalling. The length can also be negotiated at the beginning of the connection or again during the connection. The payload units 62 are inserted into the information field of LAC frames 63. Therefore each LAC frame 63 carries one or more payload units 62. In an optimum situation the length of the  
30 information field in the LAC frame 63 equals  $n \cdot$  the length of the payload unit 62, wherein  $n$  is an integer. For example in Figure 6C, the LAC frames comprise  $n$  payload units. Each LAC frame 63 further comprises a frame check sequence FCS. Instead of frame numbering the LAC frame carries in the header field H the payload unit numbering indicating which payload units  
35 are transferred in the information field of the LAC frame. In the example shown in Figure 6C, the numbering in the header field, i.e. a so-called transmission

number, indicates that in addition to the number of the first payload unit 62, e.g. number 1, the header of the LAC frame can contain the data that the information field of the LAC frame comprises  $n$  payload units. The receiver can also conclude itself the number of the payload units in the frame, it can know  
 5 the number in advance or it can receive information in some other manner. On the basis of the transmission number and the information on the number of payload units, the receiver is able to calculate the numbers of the other payload units in the frame, if necessary, and the number of the next payload unit it wants. The receiver can transmit this next number, i.e. a so-called  
 10 reception number, in acknowledgement to the transmitter if the LAC frame was received successfully. As a result of the acknowledgement the transmitter transmits the requested payload unit and  $n-1$  next payload units in the next LAC frame. If the FCS indicates that the content of the received LAC frame was faulty or if the frame is missing entirely, the receiver can request for  
 15 retransmission of the entire LAC frame by sending in acknowledgement the transmission number given in the faulty frame. If it can be concluded from the FCS that the faulty bit is in the  $k$ th payload unit (where  $k$  is an integer and  $k \leq n$ ), the receiver can transmit the number of this corrupted payload unit in acknowledgement in an embodiment of the invention. As a result of the  
 20 acknowledgement the transmitter retransmits the requested payload unit and  $(n-k+1)$  following payload units together with  $(k-1)$  new payload units in the next LAC frame. If the data transmission is bidirectional, the above-described operation can take place in both transmission directions. In such a case the header  $H$  of the LAC frames 63 may contain both a transmission number for  
 25 one transmission direction and a reception number for the other transmission direction. Further, it is possible to use windowing with the payload numbers similarly as in the protocols based on frame numbers.

Figure 7 shows an example of data transmission and retransmission based on the payload numbering according to the invention. A  
 30 transmitter Tx transmits an LAC frame 71, which comprises three payload units, numbers 1, 2 and 3, and it stores the LAC frame 71 or only the payload units 1 to 3 in a retransmission buffer. The header of the LAC frame 71 indicates the number of the first payload unit, i.e. number 1. A receiver Rx receives the LAC frame without errors and transmits an LAC  
 35 acknowledgement frame 72, where the header indicates the number of the next desired payload unit, i.e. number 4. The transmitter Tx transmits the next

LAC frame 73, which contains payload units 4, 5 and 6, and it stores the LAC frame 73 or only payload units 4 and 5 in the retransmission buffer. The reception of the entire LAC frame 73 fails and the receiver Rx transmits an LAC acknowledgement frame 74 where payload unit 4 is again requested for.

- 5 The transmitter Tx retransmits payload units 4, 5 and 6 in an LAC frame 75.

Figures 8A, 8B and 8C illustrate how a transmitter Lx processes frames to be retransmitted when the frame length is changed during the connection. Figure 8A shows an "old" frame in the transmission buffer, comprising n payload units. After the frame length has changed, the  
10 transmitter Tx splits the "old" frame back into payload units (Figure 8B) and packs the payload numbers into "new" frames, each of which comprises two payload units (Figure 8C). The payload numbering in the header of the new frame indicates which payload units the new frame comprises.

Figure 9 shows an example of the data transmission and  
15 retransmission according to the invention, based on payload numbering, when the frame length changes in the middle of the transmission. LAC frames 71 to 74 are transmitted in the same manner as in Figure 7. After LAC frame 73 has been transmitted, the frame length is shortened such that only two payload units are transmitted in one new frame instead of the old three units. After the  
20 frame length has changed, the transmitter Tx receives an acknowledgement frame 74 requesting for retransmission of payload units 4 to 6. The transmitter Tx unpacks the old LAC frame 73 as shown in Figure 8 and inserts payload units 4 and 5 into a new LAC frame 91, which is transmitted to the receiver Rx. The receiver Rx acknowledges with an LAC frame 92, where payload unit 6 is  
25 requested for next. The transmitter Tx transmits an LAC frame 93 containing the payload unit 6 to be retransmitted and a new payload unit 7. The retransmissions could thus be carried out without interference to the retransmission sequences as a result of the changed LAC frame length, since the payload numbering and the modes of the transmitter and the receiver  
30 remain the same after the change. The only thing that changes is the LAC frame throughput, i.e. the number of the payload units transferred in one LAC frame.

By means of the payload numbering it is also possible to improve the interworking of link layer protocols between two different radio systems.  
35 Figure 10 shows a mobile communication system where a third-generation radio access network is connected to a second-generation mobile services

switching centre MSC. The radio access network supports the link access control LAC protocol and the MSC supports the radio link protocol RLP. Between the radio access network and the MSC there is an interworking function described in the form of an interworking unit IWU. The LAC protocol is applied between the MS and the IWU. The RLP protocol is applied between the IWU and the MSC. The IWU comprises an LAC/RLP function which understands both the LAC and the RLP formats and converts the transmission formats and functions between the LAC and the RLP. If a particular function is supported by only one of the protocols, the IWU preferably deactivates such a function during the protocol negotiations. Therefore all the functions operate from end to end between the MS and the IWU.

According to the invention, the LAC frames carry data in fixed-length payload units, as described above. Also, the retransmission mechanism between the MS and the IWU is based on payload numbering and not on LAC frame numbering. The length of a payload unit equals the length of an information field in an RLP frame. This means that one RLP frame carries one payload unit. When the retransmission mechanism between the IWU and the MSC employs conventional RLP numbering, the payload numbering is directly compatible with the RLP frame numbering. Therefore the same numbering applies over the entire connection between the MS and the MSC despite two different protocols. In other words, the RLP and the LAC process the same sequence numbers (the sequence numbers are synchronized) even though the LAC frames can be longer than the RLP frames. The IWU does not independently acknowledge the data it has received from the MS or the MSC, but it only performs a format conversion and forwards the information to the receiver, regardless of whether the information is user data, acknowledgements or protocol commands/responses.

Figure 11 illustrates the data transmission according to the invention in a network configuration of the type shown in Figure 10. An MS transmits an LAC frame 111 comprising three payload units, numbers 1 to 3. The header of the frame 111 indicates that the first payload unit is number 1. The IWU receives the LAC frame 111, unpacks payload units 1 to 3 from the frame 111 and packs them into three RLP frames 112, 113 and 114, the frame numbers of which are correspondingly 1, 2 and 3. The RLP frames are inserted into a transmission buffer. The IWU transmits the first RLP frame (frame number 1) to the MSC. The MSC acknowledges successful reception



with an RLP frame 115, where frame number 2 is requested for next. Since such a frame is found in the transmission buffer, the IWU transmits a second RLP frame (frame number 2) to the MSC. The MSC acknowledges successful reception with an RLP frame 116, where frame number 3 is requested for next.

5 Since such a frame is found in the transmission buffer, the IWU transmits a third RLP frame (frame number 3) to the MSC. The MSC acknowledges successful reception with an RLP frame 117, where frame number 4 is requested for next. This exchange of RLP frames is shown without the use of windowing, but the changes it causes in the operation are evident to those skilled in the art. In the windowing the IWU transmits for example all the RLP frames 112 to 114 one after another (the size of a window is three or more RLP frames) and the MSC only transmits one RLP acknowledgement frame 117. Since frame number 4 is not found in the transmission buffer, the IWU converts the RLP acknowledgement frame 117 into an LAC acknowledgement frame 118, where the header contains a request for payload unit 4. The MS transmits a new LAC frame where the information field contains payload units 4 to 6 and the header contains payload unit number 4. The IWU stores the RLP frames in the retransmission buffer until it receives acknowledgement from the MSC. If, at some point, the MSC transmits an RLP frame requesting for the retransmission of a frame, the IWU transmits the requested frame from its retransmission buffer.

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By means of the arrangement according to the invention it is possible to avoid problems in handovers even if the IWU changes since the protocols stay the same at the connection end points (the MSC and the MS) and there is no need to reset protocol state machines. Both the MS and the MSC know which frames have already been received and acknowledged.

25

Figure 12 shows an example where an MS is handed over from the "old" IWU to the "new" IWU during the transmission of an LAC frame. The start resembles Figure 11. The MS transmits to the old IWU an LAC frame 121 containing three payload units, numbers 1, 2 and 3. The IWU 100 converts the LAC frame into RLP format and transmits a first RLP frame 122. The MSC transmits positive acknowledgement 123 and the IWU transmits a second RLP frame 124. The MSC also acknowledges this frame (125). Now the MS is handed over to a base station which is connected to the "new" IWU 101, and the RLP connection is set up to the new IWU 101. Therefore the MSC does not receive RLP frame number 3 and the new IWU 101 does not receive

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acknowledgement for the third RLP frame. Since the MS does not receive within a predetermined time acknowledgement for payload units 1 to 3 that were transmitted in the LAC frame, an LAC timer expires and the MS retransmits the payload units in an LAC frame 126 to the new IWU 101. The new IWU 101 converts the LAC frame 126 into RLP format and transmits a first RLP frame 127. The sequence number of the first RLP frame 127 is the same as the number of the first payload unit in the LAC frame, i.e. 1. The MSC now knows that it has already received RLP frames 1 and 2 via the old IWU and it requests with an RLP acknowledgement frame 128 the new IWU to transmit RLP frame number 3. The new IWU 101 transmits an RLP frame 129, i.e. frame number 3, and the MSC transmits an RLP acknowledgement frame 130 where frame number 4 is requested for next. Since the IWU does not comprise this frame, the IWU converts the RLP frame 130 into an LAC frame 131, which contains a request to transmit payload unit 4. The LAC frame 131 thus acknowledges the LAC frame 126. The handover was thus carried out without the doubling or loss of user data.

In a preferred embodiment of the invention, a header of a frame only comprises one payload number indicating the first payload unit contained in the frame. This is sufficient and minimizes the overhead under normal circumstances. However, in special situations, such as when the data rate changes, it may be necessary to indicate in the frame header the numbers of all the payload units. In the invention this can be implemented by extending the frame header, as illustrated by examples in Figures 13 and 14.

Figure 13 shows a payload number field of a frame (e.g. an LAC frame), which can be extended. The length of the basic field is 16 bits (octets 1 and 2). The payload number (PN) is 14 bits. An H flag indicates whether the header extension is used or not. If the H flag is 0, the header ends in this octet and the next two octets (3 and 4) comprise data (i.e. the beginning of the first payload unit). If the H flag is 1, the next two octets (3 and 4) contain a new payload number. In an embodiment of the invention these additional payload numbers replace the first payload unit. A D flag (data segmentation extension) indicates whether the first payload unit comprises data segmentation information. If the D flag is 1, the first payload unit comprises segmentation information. If the D flag is 0, the first data unit comprises data. The data is typically divided (segmented) into payload units as a continuous flow and it can also be restored by placing the payload units one after another in

numerical order. The data segmentation information is required if the data is segmented in an unusual manner.

Normally the payload unit number field only contains octets 1 and 2 (H=0) indicating the number of the first payload unit in the frame.

- 5 Under special circumstances, for example when the data rate changes, it may be necessary to retransmit a few payload units "out of sequence" in one or more frames, which means that the payload units in the frames do not have successive numbers. According to an embodiment of the invention, the number field of the header is then extended by one or more  
10 numbers. This means that in the last number field H=0 and in the other fields H=1. Figure 14 shows an example of a frame with four individually numbered payload units which take the space of five payload units. Padding PAD (e.g. zerofill) is used to adapt the frame size into a multiple of the basic structure. In Figure 14 the size of the payload unit is 80 bits, the FCS is 16 bits and the  
15 basic header is 16 bits. The extended header is  $16+3*16=64$  bits. The padding PAD is 32 bits ( $80-3*16$ ), wherefore the frame size corresponds to a basic frame comprising four payload units. Normally the frame size changes when the number of the payload units is altered, in which case the frame size is  $16+16+n*80$  bits, where n is the number of the payload units. By means of the  
20 padding PAD also the length of a frame with an extended header is a multiple of the basic frame.

- It is obvious that as the technology develops the basic idea of the invention can be implemented in several different manners. Therefore the invention and the embodiments thereof are not restricted to the examples  
25 described above, but they may vary within the scope of the claims.

CLAIMS

1. A data transmission method in a telecommunication system,  
which method comprises a step of  
transmitting data in frames of a link protocol provided with a  
5 retransmission mechanism from a transmitting end to a receiving end,  
**characterized** by  
transmitting data in information fields of the protocol frames in fixed-  
length data blocks which have been numbered,  
using said data block numbering in said retransmission mechanism,  
10 changing the length of the protocol frame during the connection,  
inserting the payload units to be retransmitted, which were  
transmitted for the first time before the frame length was changed, into one or  
several protocol frames with a new frame length.
2. A method according to claim 1, **characterized** in that the  
15 method comprises steps of  
splitting the data to be transmitted into fixed-length payload units  
provided with payload numbers in order to distinguish the units from one  
another,  
inserting one or more payload units into the information field of each  
20 protocol frame,  
providing a header field of a protocol frame with payload  
numbering, which indicates the payload units contained in the information field  
of the protocol frame,  
transmitting the frames from the transmitting end to the receiving  
25 end,  
acknowledging payload units which have been received  
appropriately, requesting for transmission of new payload units or requesting  
for retransmission of payload units which have not been received appropriately  
by means of said payload numbers.
- 30 3. A method according to claim 1 or 2, **characterized** in that  
the method comprises steps of  
unpacking the payload units from the protocol frames with the old  
frame length contained in a retransmission buffer at the transmitting end after  
the frame length has been changed.
- 35 4. A method according to any one of the preceding claims, **char-  
acterized** in that said link protocol provided with a retransmission

mechanism is a layer 2 link protocol, such as a radio link protocol (RLP), a link access control (LAC) protocol or a radio link control protocol (RLCP), or a protocol situated below them, such as medium access control (MAC).

5. A method according to any one of the preceding claims, **characterized** by

indicating in the header of the protocol frame in a normal situation the number of only one payload unit contained in the information field,

indicating in the header of the protocol frame the number of every payload unit in the information field individually, when payload units with  
10 unsuccessive numbers are retransmitted in the protocol frame in a special situation.

6. A method according to claim 6, **characterized** by

indicating said individual payload numbers in a frame header extension at the beginning of said information field.

15 7. A method according to claim 5 or 6, **characterized** in that said special situation is a change in the data rate.

8. A data transmission method in a mobile communication system comprising a mobile services switching centre with a first link protocol having a fixed frame length, provided with a retransmission mechanism for non-transparent data transmission; a radio access network with a second link  
20 protocol provided with a retransmission mechanism for non-transparent data transmission, the frame length of the second protocol being variable or the frame being longer than the frame of the first protocol; and an interworking unit via which the radio access network is connected to the mobile services  
25 switching centre, the method comprising steps of

transmitting data in frames of the first link protocol between the interworking unit and the mobile services switching centre,

using frame numbering in said retransmission mechanism between the interworking unit and the mobile services switching centre,

30 transmitting data in frames of the second link protocol between the mobile station and the interworking unit,

**characterized** by

transmitting data in the information fields of the second link protocol frames in the form of data blocks which are numbered, the length of said data  
35 block being equal to the length of the information field of the first link protocol frame,

using said data block numbering in said retransmission mechanism between the interworking unit and the mobile station, said data block numbering being directly compatible with the frame numbering used between the interworking unit and the mobile services switching centre.

5 9. A data transmission method in a mobile communication system comprising a mobile services switching centre with a first link protocol having a fixed frame length, provided with a retransmission mechanism for non-transparent data transmission; a radio access network with a second link  
10 transmission, the frame length of the second protocol being variable; and an interworking unit via which the radio access network is connected to the mobile services switching centre, the method comprising steps of

transmitting data in frames of the first link protocol between the interworking unit and the mobile services switching centre,

15 transmitting data in frames of the second link protocol between the mobile station and the interworking unit,

**characterized by**

transmitting data in the information fields of the second link protocol frames in the form of data blocks which are numbered,

20 selecting the length of the data block such that the frame length of the second link protocol is equal to or smaller than the length of the first link protocol frame or information field,

transmitting the frames of the second link protocol in place of the frames of the first link protocol or in the information fields thereof between the  
25 interworking unit and the mobile services switching centre,

using said data block numbering in the retransmission mechanism according to the second link protocol over the entire connection between the mobile station and the mobile services switching centre.

101. A telecommunication system comprising a transmitter (Tx) and  
30 a receiver (Rx) and a link protocol provided with a retransmission mechanism, the transmitter and the receiver being arranged to transmit data in the frames of the link protocol from the transmitting end to the receiving end, **characterized** in that the data is placed in the information fields of the protocol frames (62, 71, 73, 75) in fixed-length data blocks (62) which are numbered,  
35 and that said retransmission mechanism is arranged to utilize said data block numbering, and that the length of the protocol frame (62, 71, 73, 75) can be

changed during the connection, and that the transmitter (Tx) is arranged to insert the payload units to be retransmitted, which were transmitted for the first time before the frame length was changed, into one or several protocol frames with a new frame length in response to the changing of the frame length.

5           11. A system according to claim 10, **characterized** in that the information field of each protocol frame comprises one or more data blocks (62) and that a header field (H) of a protocol frame is provided with payload numbering indicating the payload units in the information field of the protocol frame.

10           12. A system according to claim 10 or 11, **characterized** in that the receiver (Rx) is arranged to acknowledge appropriately received payload units (62), to request for transmission of new payload units or to request for retransmission of inappropriately received payload units by means of said payload numbers.

15           13. A system according to claim 10, 11 or 12, **characterized** in that the transmitter (Tx) is arranged to unpack the payload units from the protocol frames with the old frame length in the retransmission buffer in response to the changing of the frame length.

20           14. A system according to any one of claims 10 to 13, **characterized** in that said link protocol provided with a retransmission mechanism is a layer 2 link protocol, such as a radio link protocol (RLP), a link access control (LAC) protocol or a radio link control protocol (RLCP), or a protocol situated below them, such as medium access control (MAC).

25           15. A system according to any one of claims 10 to 14, **characterized** in that the length of the payload unit (62) can be obtained either directly or indirectly from inband or outband signalling.

            16. A system according to any one of claims 10 to 15, **characterized** in that the length of the payload unit (62) can be negotiated at  
30 the beginning of the connection and/or during the connection.

            17. A system according to any one of claims 10 to 16, **characterized** in that

            the header of the protocol frame normally contains the number of one payload unit in the information field,

35           the header of the protocol frame contains the individual number of each payload unit in the information field when payload units with

unsuccessive numbers are retransmitted in the protocol frame in a special situation, which is, for example, a change in the data rate.

18. A system according to claim 17, **characterized** in that the header of the protocol frame can be extended to the beginning of the information field in order to indicate said individual payload numbers.

19. A mobile communication system comprising a mobile services switching centre (MSC) with a first link protocol (RLP) provided with a fixed frame length and a retransmission mechanism utilizing frame numbering for non-transparent data transmission; a radio access network (RAN) with a second link protocol (LAC) provided with a retransmission mechanism for non-transparent data transmission, the frame length of the second protocol being variable or the frame being longer than the frame of the first protocol; and an interworking unit (IWU) via which the radio access network (RAN) is connected to the mobile services switching centre (MSC) such that a transmission link is provided between a mobile station (MS) and the mobile services switching centre (MSC) via the radio access network (RAN), the transmission link comprising a first leg between the interworking unit (IWU) and the mobile services switching centre (MSC) and a second leg between the mobile station (MS) and the interworking unit (IWU), **characterized** in that the data is situated in the information fields of the second link protocol (LAC) frames in the form of data blocks (62) which are numbered, the length of said data block (62) equalling the length of the information field of the first link protocol (RLP) frame, and that the retransmission mechanism of the second link protocol (LAC) is arranged to use said data block numbering between the interworking unit (IWU) and the mobile station (MS), said data block numbering being directly compatible with the frame numbering used between the interworking unit (IWU) and the mobile services switching centre (MSC).

20. A mobile communication system comprising a mobile services switching centre (MSC) with a first link protocol (RLP) having a fixed frame length, provided with a retransmission mechanism for non-transparent data transmission; a radio access network (RAN) with a second link protocol (LAC) provided with a retransmission mechanism for non-transparent data transmission, the frame length of the second protocol being variable; and an interworking unit (IWU) via which the radio access network (RAN) is connected to the mobile services switching centre (MSC) such that a transmission link is provided between a mobile station (MS) and the mobile services switching

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centre (MSC) via the radio access network (RAN), the transmission link comprising a first leg between the interworking unit (IWU) and the mobile services switching centre (MSC) and a second leg between the mobile station (MS) and the interworking unit (IWU), **characterized** in that the mobile station (MS) and the interworking unit (IWU) are arranged to transmit data in the information fields of the second link protocol (LAC) frames in the form of data blocks (62) which are numbered, and that the length of the data block (62) is such that the frame length of the second link protocol (LAC) is equal to or smaller than the length of the first link protocol (RLP) frame or information field, and that the interworking unit (IWU) and the mobile services switching centre (MSC) are arranged to transmit the frames of the second link protocol (LAC) in place of the frames of the first link protocol (RLP) or in the information fields thereof between the interworking unit (IWU) and the mobile services switching centre (MSC), and that the mobile station (MS) and the mobile services switching centre (MSC) are arranged to use said data block numbering in the retransmission mechanism according to the second link protocol (LAC) over the entire connection between the mobile station and the mobile services switching centre.

21. A mobile station (MS) which is arranged to transmit and receive data in frames of a link protocol (LAC) provided with a retransmission mechanism, **characterized** in that the data is placed in information fields of the protocol frames in the form of fixed-length data blocks (62) which are numbered, and that said retransmission mechanism is arranged to utilize said data block numbering, and that the length of the protocol frame can be changed during the connection, and that the mobile station (MS) is arranged to insert the data blocks to be retransmitted, which were transmitted for the first time before the frame length was changed, into one or several protocol frames with a new frame length in response to the changing of the frame length.

22. A mobile station according to claim 21, **characterized** in that the information field of each protocol frame comprises one or more data blocks (62), and that a header field (H) of a protocol frame is provided with payload numbering indicating the data blocks (62) contained in the information field of the protocol frame.

23. A mobile station according to claim 21 or 22, **characterized** in that the mobile station (Rx) is arranged to acknowledge appropriately

received data blocks, to request for transmission of new data blocks or to request for retransmission of inappropriately received data blocks.

24. A mobile station according to any one of claims 21 to 23, **characterized** in that said link protocol provided with a retransmission  
5 mechanism is a layer 2 link protocol, such as a radio link protocol (RLP), a link access control (LAC) protocol or a radio link control protocol (RLCP), or a protocol situated below them, such as medium access control (MAC).

25. A mobile station according to any one of claims 21 to 24, **characterized** in that it is a dual-mode mobile station with ability to  
10 operate in two radio systems with different radio interfaces.

26. A mobile station according to any one of claims 21 to 25, **characterized** in that

the header of the protocol frame normally contains the number of one payload unit situated in the information field,

15 the header of the protocol frame contains the individual number of each payload unit in the information field when payload units with unsuccessive numbers are retransmitted in the protocol frame in a special situation, which is, for example, a change in the data rate.

2G radio access 1/5

Fig. 1

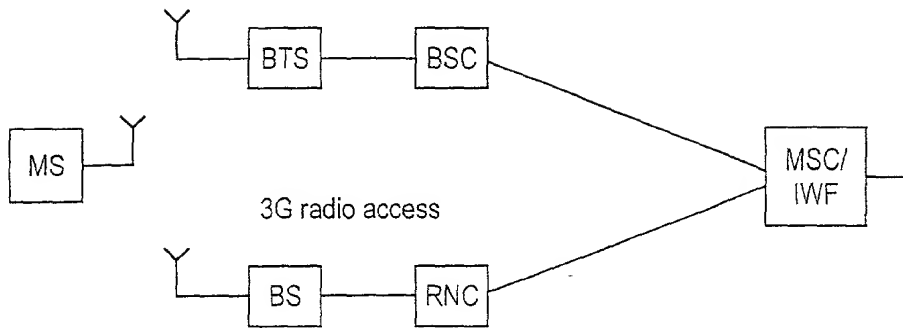


Fig. 2

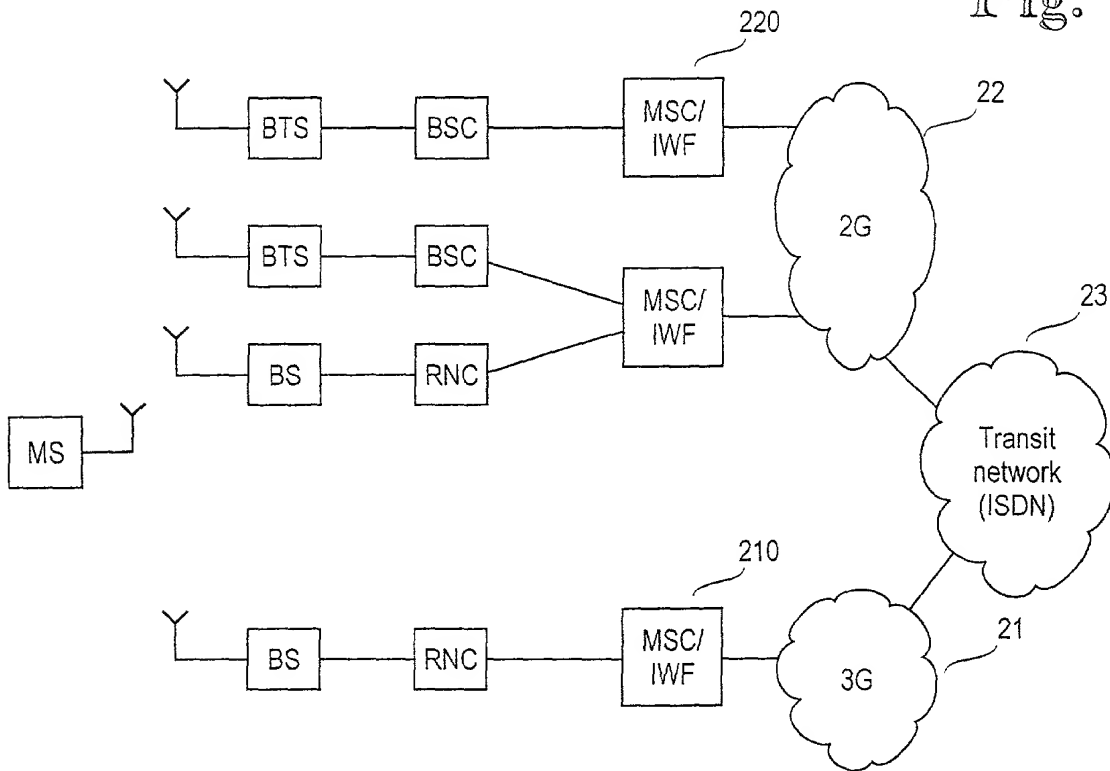
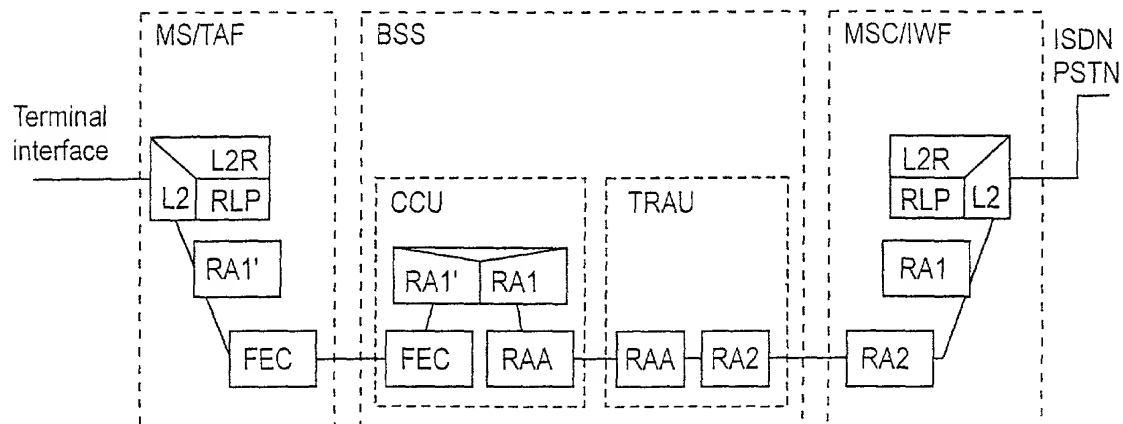


Fig. 3



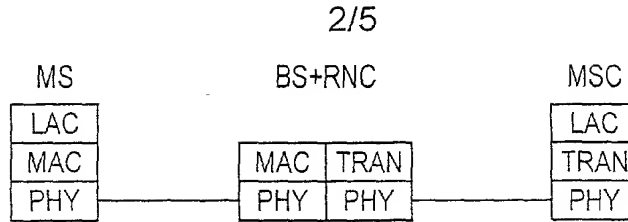


Fig. 4

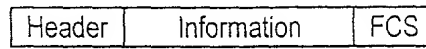


Fig. 5

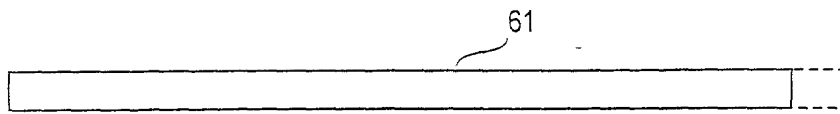


Fig. 6A

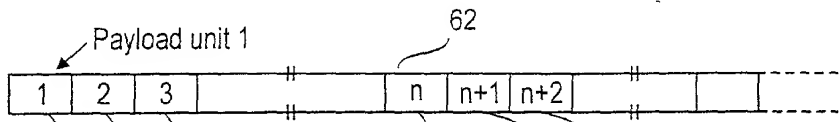


Fig. 6B

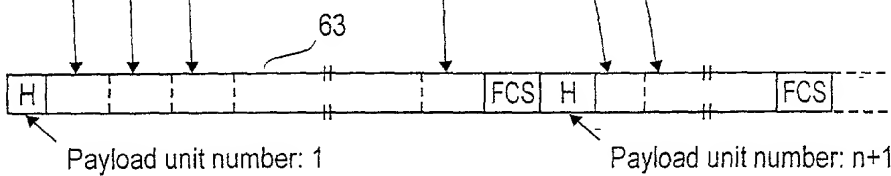


Fig. 6C

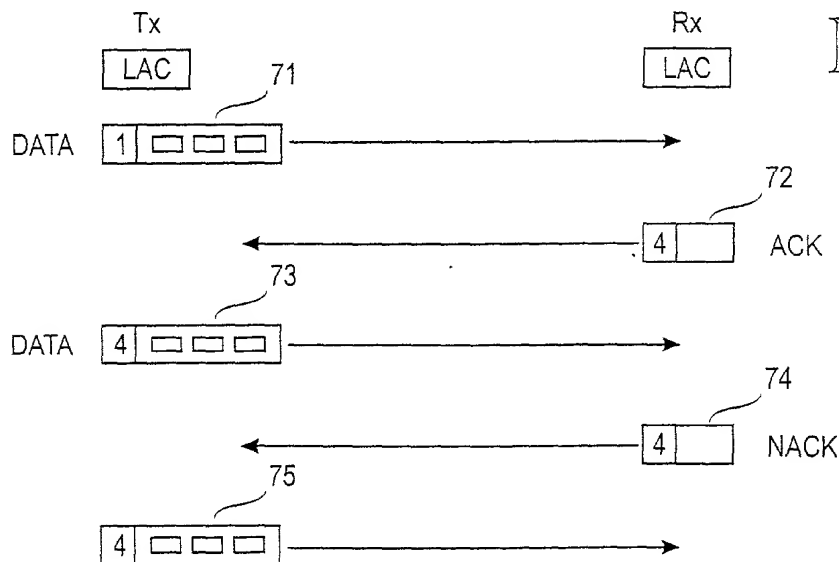
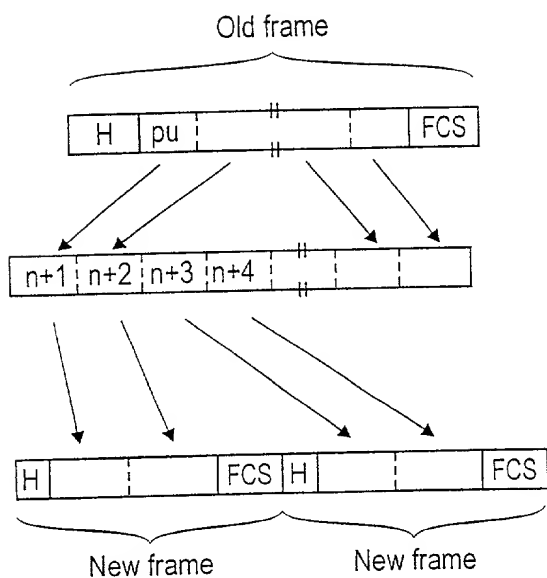


Fig. 7

Fig. 8A



Payload units packed in "old" long frames in retransmission buffer

Fig. 8B

Payload units to be retransmitted  
are separated from "old" frames

Fig. 8C

and packed in "new" short frames that are transmitted

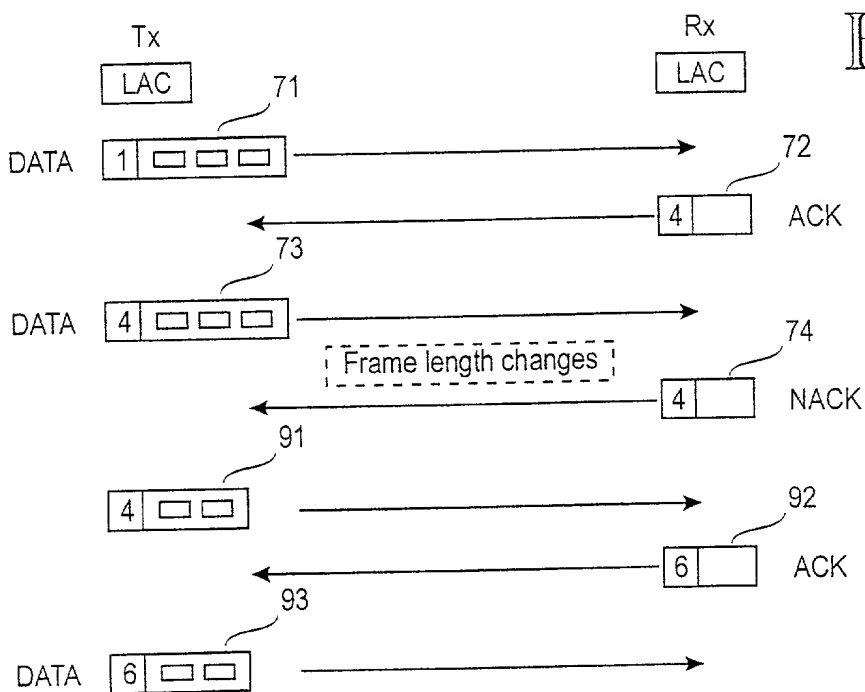
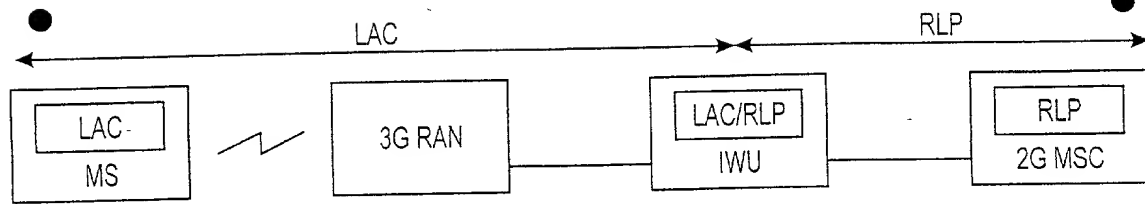


Fig. 9

Fig. 10



● Complete protocol unit

Fig. 11

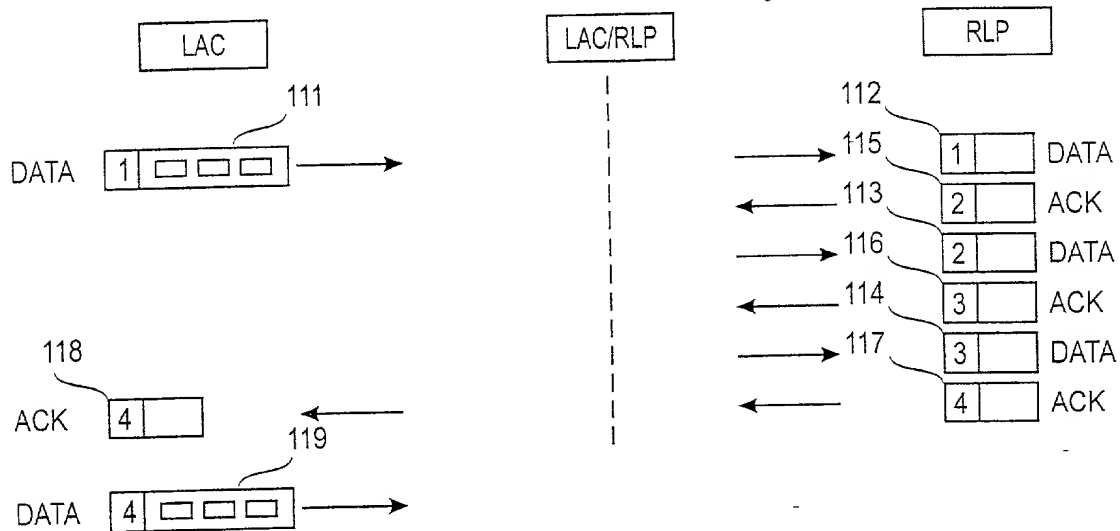
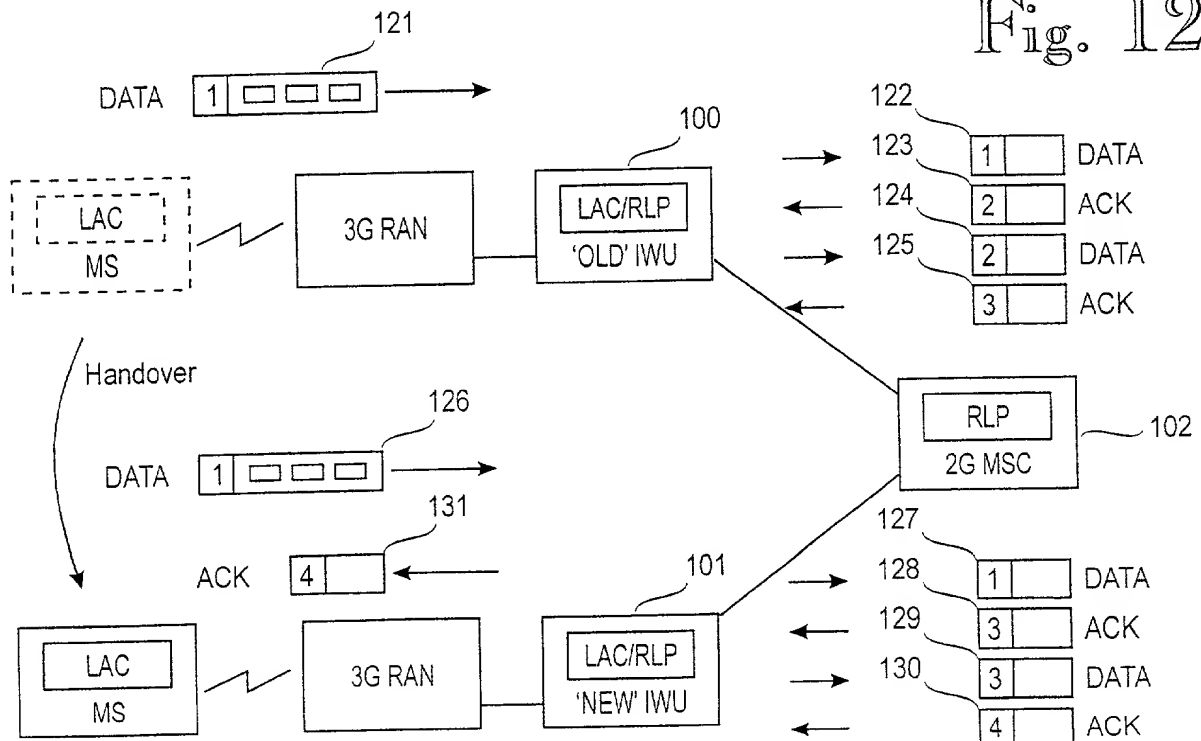


Fig. 12



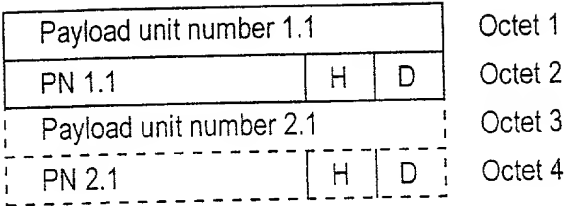


Fig. 13

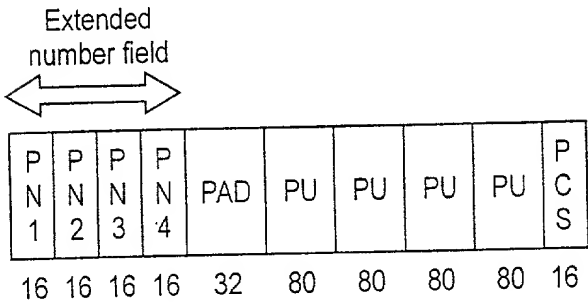


Fig. 14

FOR UTILITY/DESIGN  
CIP/PCT NATIONAL/PLANT  
ORIGINAL/SUBSTITUTE/SUPPLEMENTAL  
DECLARATIONS

RULE 63 (37 C.F.R. 1.63)  
DECLARATION AND POWER OF ATTORNEY  
FOR PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PM & S  
FORM

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the **INVENTION ENTITLED**  
Data transmission methods in a telecommunication system

the specification of which (CHECK applicable BOX(ES))  
X ☒ A. ☐ is attached hereto.  
BOX(ES) ☐ B. ☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_  
☒ C. ☐ was filed as PCT International Application No. PCT/ FI 99 / 00477 on 1 June 1999  
and (if applicable to U.S. or PCT application) was amended on 29 May 2000

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:

PRIOR FOREIGN APPLICATION(S)			Date first Laid- open or Published	Date Patented or Granted	Priority Claimed Yes No
Number	Country	Day/MONTH/Year Filed			
981261	FI	3 June 1998			X
981441	FI	23 June 1998			X

I hereby claim domestic priority benefit under 35 U.S.C. 119(e) or 120 and 365(c) of the indicated United States applications listed below and PCT international applications listed above or below and, if this is a continuation-in-part (CIP) application, insofar as the subject matter disclosed and claimed in this application is in addition to that disclosed in such prior applications, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56 which became available between the filing date of each such prior application and the national or PCT international filing date of this application:

PRIOR U.S. PROVISIONAL, NONPROVISIONAL AND/OR PCT APPLICATION(S)		Status	Priority Claimed Yes No
Application No. (series code/serial no.)	Day/MONTH/Year Filed	pending, abandoned, patented	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint Pillsbury Madison & Sutro LLP, Intellectual Property Group, 1100 New York Avenue, N.W., Ninth Floor, East Tower, Washington, D.C. 20005-3918, telephone number (202) 861-3000 (to whom all communications are to be directed), and the below-named persons (of the same address) individually and collectively my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent, and I hereby authorize them to delete names/numbers below of persons no longer with their firm and to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/ organization who/which first sends/sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct the above firm and/or a below attorney in writing to the contrary.

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## DECLARATION AND POWER OF ATTORNEY

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City	State/Foreign Country	Country of Citizenship	
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(include Zip Code)			

(8) INVENTOR'S SIGNATURE:

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City	State/Foreign Country	Country of Citizenship	
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(include Zip Code)			

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City	State/Foreign Country	Country of Citizenship	
Post Office Address			
(include Zip Code)			